Attorney Docket Number: Serial Number: Information Disclosure Statement List KCX-660 (19116) 10/732,827 Applicant: By Applicant(s) Under 37 CFR Section 1.98(a) (1) ANN MARIE PRZEPASNIAK ET AL. (Use several sheets if necessary) Filing Date: 12/10/03 Group Art Unit: 3763 Confirmation No: 6672

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

This item is cumulative, per Rule 98(c) (1)

A copy of this item was previously cited by or submitted to the U.S. (2) Patent and Trademark Office in:

> USSN USSN , filed

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

(3) Both reasons (1) and (2) apply

(4) No legible complete copy is possessed, in custody of controlled, or readily available

(5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATENT       | DOCUMENTS       |    |     |     | _   |   |   |   |                |              |
|-------------------|-----------------|----|-----|-----|-----|---|---|---|----------------|--------------|
| EXAMINER INITIALS | PATENTEE NAME   | PA | TEN | ΓNU | MBE | R | · |   | ISSUE-<br>DATE | COPY<br>NOTE |
| RE                | Miller, et al.  | 5  | 6   | 0   | 5   | 7 | 6 | 4 | 02/25/1997     | 5            |
|                   | Cheng, et al.   | 5  | 6   | 9   | 3   | 7 | 0 | 7 | 12/02/1997     | 5            |
| All               | Daniels, et al. | 6  | 0   | 6   | 3   | 8 | 5 | 8 | 05/16/2000     | 5            |

| FORE       | EIGN | P | ATE | NT DO | CUME                    | NTS | } |  |   |  |  |           |    |                  |   |        |   |    |     |   |
|------------|------|---|-----|-------|-------------------------|-----|---|--|---|--|--|-----------|----|------------------|---|--------|---|----|-----|---|
| EXA<br>INI | MIN  |   | -   | COU   | COUNTRY DOCUMENT NUMBER |     |   |  |   |  |  | PUBL<br>C | TR | <br>COPY<br>NOTE |   |        |   |    |     |   |
|            |      |   |     |       |                         |     |   |  |   |  |  |           |    |                  |   | YE     | S | NO | N/A | I |
|            |      | I | •   |       | 1                       | Т   |   |  | Г |  |  |           |    |                  |   | 17     |   | 7  |     |   |
|            |      | Τ |     |       |                         |     |   |  |   |  |  |           |    |                  |   |        |   |    |     |   |
|            | U    | I |     |       |                         |     |   |  |   |  |  | Ι         |    |                  | C | $\Box$ |   |    |     |   |

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

| EXAMINER<br>INITIALS | OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication  | COPY<br>NOTE |
|----------------------|---|--------------|
|                      |   |              |
| drav                 | al if citation considered, whether or not citation is in conformance with MPEP 609<br>the through citation if not in conformance and not considered. Include a copy of form with the next communication to applicant. |              |

• ....

|                      |  |   |   |  |   |   |   |  |  |  |  |  |                    | 3     | neeri               | 01 1        |
|----------------------|--|---|---|--|---|---|---|--|--|--|--|--|--------------------|-------|---------------------|-------------|
| (Rev. 5/92)          |  |   |   |  | T   | Α   | tton                                    | ncy D  | ocket  | Nur  | nber:  |  | Scri               | al Ni | mber                |             |
| Information          | Disclosure                             | State                                   | ment  | List   |   |   |   |  | 60 (19   |  |  |  | 10                 | /732  | ,827                |             |
| F                    | By Applicar                            | ni(s)                                   |   |  |   |   |   |  | ·  |  | Applicant:   |  |                    |       |                     | $\dashv$    |
| Under 37             | CFR Section                            | n 1.9                                   | 8(a) (  | 1)   |   |   |   | ANN  | MAR  |  | PRZEPAS  | NTAI                                       | KFT                | `AI   |                     |             |
|                      | eral sheets i                          |   |   |  | $\vdash$  |   | Fili                                    |  | te: 12   |  |  |  |                    |       | t Unit              |             |
| (                    |  |   | ,   | ,  |   |   |   |  |  |  |  |  | Gioi               | •     |                     | ,           |
|                      |  |   |   |  |   |   | CON                                     | umat   | ion N  | D: 0   | 0/2  |  |                    | 376   |                     |             |
| NOTE                 | le<br>is<br>re<br>(1<br>(2<br>(3<br>(4 | egible<br>s not re<br>eason<br>l)<br>2) | copy<br>equin<br>numb<br>This<br>A co<br>Pate<br>Reli<br>Both<br>No 1<br>read<br>Per 1<br>the i | of the ed and/oer is list item is ppy of to the use use use use use use use use use us | corr no rested to the state of | respondent in "mula item item item item item item item item | ondinondinondinondinondinondinondinondi | ng iter itted, PY NO c, per l s prev Office  .C. Se ) appl py is p | n is suffor the OTE" Rule 9 iously in: ection y posses | ibm<br>e fol<br>colu<br>8(c)<br>cite<br>fil<br>120<br>sed,<br>Offi | led<br>led<br>led<br>, per Rule<br>in custody<br>ice's waive | vith;<br>son(s<br>bmitt<br>98(d)<br>v of c | others) [contents] | o the | e, a coppondir U.S. | ng<br>).    |
|                      | · · · · · · ·                          |   |   |  |   |   |   |  |  |  |  |  |                    |       |                     |             |
| EXAMINER<br>INITIALS | PAT                                    | ENTE                                    | SE NA   | AME  |   | PA.   | TEN                                     | IT NU  | MBE  | R  |  |  | ISS<br>DA          |       |                     | PY  <br>TE  |
|                      |  |   |   |  |   |   |   |  |  |  |  |  | <i>-</i>           | IE.   | INC                 | TE          |
|                      | <u> </u>                               |   | +   |  |   |   |   |  |  |  |  |  | _                  |       | $\perp$             |             |
| OREIGN PATE          | NT DOCUI                               | MENT                                    | S   |  |   | -   |   |  |  |  | •  |  |                    |       |                     |             |
| EXAMINER             | COUNTRY                                | Y I                                     | ocu   | MENT   | NU  | MBE   | R                                       |  | PU   | BLI  | CATION   | TR   | ANS                | LAT   | ION                 | CO          |
| INITIALS             |  | .                                       |   |  |   |   |   |  | '  |  | ATE  | "  |                    |       | .0                  | NO          |
|                      | ı                                      |   |   |  |   |   |   |  |  |  |  | YE   | s                  | NO    | N/A                 | +           |
|                      |  |   |   |  |   |   |   |  |  |  |  |  | H                  | Ť     | 1                   | ++          |
|                      |  |   | +-  |  | ╀   | $\vdash$  |   |  |  |  |  | _  | 44                 | $\mp$ | П                   | $\bot$      |
| "NO" means tha       | it no copy of                          | fan Er                                  | glish   | languag  | e tra   | ınsla   | tion                                    | is with  | in the   | pos  | ession, cus  | odv.                                       | or co              | ntrol | of or               | is          |
| eadily available     | e to any ind                           | lividu                                  | al des  | signated   | d in  | Rule  | 56                                      | (c).   |  | •  | •  | ,,   | r                  |       | ,                   |             |
| XAMINER              |  |   |   |  | OTI   | HER   | DO                                      | CUMI   | NTS  |  | <del></del>  |  |                    |       |                     | PY          |
| NITIALS              | Specify au                             | thor (                                  | if any  | ), Title,  | Pert  | inent   | Pag                                     | es, Da   | te & P   |  | of Publicat  |  |                    |       |                     | TE          |
| X                    | EPO SEA                                | RCH I                                   | REPO  | RT   |   |   |   |  | DE   | CEN  | <b>MBER 2, 20</b>  | 04   |                    |       |                     |             |
| Examiner: init       | ial if citation                        | consi                                   | dered   | , whether  | er or   | not   | citat                                   | ion is   | n conf   | orm  | ance with N  | PEP  | 609                |       |                     |             |
| drav<br>this         | w line throug<br>form with th          | sh cita<br>he nev                       | tion if   | not in c   | onfi  | onna  | nce                                     | and no   | t cons   | idere  | d. Include   | а сор                                      | y of               |       |                     |             |
|                      | Sk                                     |   | ly  |  |   | ap  | PHC                                     | wii  |  |  | 11/11/2  | 00   | 5                  | -     |                     | <del></del> |

...

.. .

(Rev. 5/92)

Information Disclosure Statement List

By Applicant(s)

Under 37 CFR Section 1.98(a) (1)

(Use several sheets if necessary)

Attorney Docket Number:

KCX-660 (19116)

10/732,827

Applicant:

ANN MARIE PRZEPASNIAK ET AL.

Filing Date: 12/10/03

Confirmation No: 6672

3763

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN \_\_\_\_\_\_, filed \_\_\_\_\_, or

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATENT I        | DOCUMENTS     |    |      |      |     |    |   |   | •             |              |
|----------------------|---------------|----|------|------|-----|----|---|---|---------------|--------------|
| EXAMINER<br>INITIALS | PATENTEE NAME | PA | ATEN | r NU | MBI | ER |   |   | ISSUE<br>DATE | COPY<br>NOTE |
|                      | ZHAO ET AL.   | 6  | 5    | 1    | 4   | 6  | 0 | 2 | 2/4/03        | 5            |

| EXAMINER<br>INITIALS | COUNTRY  | DO | ocu | ME | NT : | NUM<br>· | /BE | R |    | PUBLICATION<br>DATE | TRANSLATION |          |        |     | COPY |  |  |
|----------------------|----------|----|-----|----|------|----------|-----|---|----|---------------------|-------------|----------|--------|-----|------|--|--|
| 101                  |          |    |     |    |      |          |     |   |    |                     | YES         | NO       | 1      | VΑ  |      |  |  |
| 1982                 | PCT      | 9  | 8   | 0  | 8    | 4        | 7   | 5 |    | 3/5/98              | x           |          | +      | f   |      |  |  |
|                      | PCT 2004 | 0  | 6   | 0  | 2    | 4        | 8   |   | Al | 7/22/04             | X           |          |        | T   |      |  |  |
| (-Ke)                | PCT 2004 | 0  | 1   | 1  | 0    | . 4      | 6   |   | Al | 2/5/04              | x           | $\sqcap$ | $\top$ | T - |      |  |  |

• "NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

| EXAMINER        | OTHER DOCUMEN   |                               | COPY |
|-----------------|---|-------------------------------|------|
| INITIALS        | Specify author (if any), Title, Pertinent Pages, Date   | & Place of Publication        | NOTE |
| Ste             | U.S. PATENT APPLICATION PUBLICATION<br>NO. 2003/0225388   | DECEMBER 4, 2003              | 5    |
|                 | U.S. PATENT APPLICATION PUBLICATION<br>NO. 2004/0018366   | JANUARY 29, 2004              | 5    |
|                 | U.S. PATENT APPLICATION PUBLICATION<br>NO. 2004/0043688   | MARCH 4, 2004                 | 5    |
|                 | U.S. PATENT APPLICATION PUBLICATION<br>NO. 2004/0019168   | JANUARY 29, 2004              | 5    |
|                 | COMMONLY OWNED AND RELATED U.S. PATENT APPLICATION PUBLICATION NO. 2004/0122402 (SERIAL NO. 10/323,864) | JUNE 24, 2004                 | 5    |
|                 | COMMONLY OWNED AND RELATED U.S. PATENT APPLICATION PUBLICATION NO. 2084/0122403 (SERIAL NO. 10/326,912) | JUNE 24, 2004                 | 5    |
| EXAMINER        | Klinn   | DATE CONSIDERED               |      |
| Examiner: Vinit | ial if citation considered, whether or not citation is in   | conformance with MPEP 609;    |      |
| dra:            | w line through citation if not in conformance and not form with the next communication to applicant.    | considered, include a copy of |      |

(Rev. 5/92)
Information Disclosure Statement List
By Applicant(s)
Under 37 CFR Section 1.98(a) (1)
(Use several sheets if necessary)

Attorney Docket Number:
Serial Number:
10/732.827

Applicant:
ANN MARIE PRZEPASNIAK ET AL.
Filing Date: 12/10/03 Group Art Unit:
Confirmation No: 6772 3763

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN \_\_\_\_\_\_\_, filed \_\_\_\_\_\_, or USSN \_\_\_\_\_\_, filed \_\_\_\_\_\_;

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATEN  | T DOCUMENTS          |     |             |       |     |   |    |   |          | T 00014 |
|---|----------------------|-----|-------------|-------|-----|---|----|---|----------|---------|
| EXAMINER  |                      | PA" | <b>TENI</b> | . NUI | MBE | R |    |   | ISSUE    | COPY    |
| INITIALS  | ,                    | 1   |             |       |     |   |    |   | DATE     | NOTE    |
|   |                      |     |             |       |     |   |    |   | l        |         |
| 1401  | AHR ET AL.           | 5   | 4           | 6     | 0   | 6 | 2  | 4 | 10/24/95 | 5       |
| <del>                                      </del> | HARMON               | 3   | 4           | 2     | 0   | 2 | 3  | 5 | 1/7/69   | 5       |
| <del></del>                                       | FERGUSON ET AL.      | 4   | 3           | 4     | 1   | 2 | 1_ | 7 | 7/27/82  | 5       |
|   | WHALEN ET AL.        | 5   | 5           | 7     | 3   | 5 | 2  | 3 | 11/12/96 | 5       |
|   | HIRSCHMAN            | 4   | 1           | 7     | 5   | 5 | 6  | 1 | 11/27/79 | 5       |
| -1-1-   | HIRSCHMAN            | 3   | 9           | 8     | 3_  | 8 | 7  | 3 | 10/5/76  | 5       |
|   | HIRSCHMAN            | 3   | 7           | 2     | 6   | 2 | 7  | 7 | 4/10/73  | 5       |
| <del>-   -  </del>                                | VUKOS ET AL.         | 5   | 4           | 8     | 4   | 4 | 2  | 9 | 1/16/96  | 5       |
| <del>-   -  </del>                                | BROWN                | 5   | 6           | 8     | 1   | 2 | 9  | 9 | 10/28/97 | 5       |
|   | GRENIER              | 5   | 6           | 1     | 3   | 9 | 6  | 4 | 5/25/97  | 5       |
|   | JACKSON ET AL.       | 5   | 9           | 5     | 2   | 2 | 5  | 1 | 9/14/99  | 5       |
| _   | OSBORN, III ET AL.   | 6   | 1           | 7     | 1   | 2 | 9  | 2 | 1/9/01   | 5       |
|   | POMPLUN ET AL.       | 5   | 9           | 4     | 8   | 7 | 1  | 0 | 9/7/99   | 5       |
|   | OSBORN, III ET AL.   | 5   | 8           | 9     | 1   | 1 | 2  | 6 | 4/6/99   | 5_      |
|   | BROWN ET AL.         | 6   | 2           | 7     | 0   | 4 | 8  | 6 | 8/7/01   | 5       |
|   | GRENIER              | 5   | 4           | 2     | 9   | 6 | 3_ | 1 | 7/4/95   | 5       |
| _   | OSBORN, III ET AL.   | 6   | 0           | 13    | 3   | 3 | 9  | 1 | 3/7/00   | 5       |
|   | BROWN ET AL.         | 6   | i           | 8     | 3   | 4 | 5_ | 6 | 2/6/01   | 5       |
|   | OSBORN III, ET AL.   | 5   | 7           | 6     | 2   | 6 | 4  | 4 | 6/9/98   | 5       |
|   | SHELDON ET AL.       | 4   | 6           | 0     | 0   | 4 | 0  | 4 | 7/15/86  | 5       |
|   | YEO                  | 5   | 5           | 0     | 9   | 9 | 1  | 3 | 4/23/96  | 5       |
| <del></del>                                       | SHELDON ET AL.       | 4   | 5           | 2     | 2   | 9 | 6  | 7 | 6/11/85  | 5       |
| <del></del>                                       | RAY-CHAUDHURI ET AL. | 3   | 8           | 9     | 1   | 5 | 8  | 4 | 6/24/75  | 5       |
| A   | FARRIS ET AL.        | 6   | 2           | 0     | 3   | 5 | 1  | 2 | 3/20/01  | 5_      |
| 4H-/  | BROWN ET AL.         | 6   | 12          | 17    | ō   | 4 | 8  | 6 | 8/7/01   | 5       |

| FOREIGN PAT          | ENT DOCUM | ENT | S |    |    |     |     |   |   |                     |      |               |        | Co       |    |
|----------------------|-----------|-----|---|----|----|-----|-----|---|---|---------------------|------|---------------|--------|----------|----|
| EXAMINER<br>INITIALS | COUNTRY   |     |   | ME | NT | NUN | /BE | R | • | PUBLICATION<br>DATE | TRAN | TRANSLATION   |        |          |    |
| m                    |           |     |   |    |    |     |     |   |   |                     | YES  | NO            | N/A    |          |    |
| Allex                | EPO       | 0   | ī | 6  | 1  | 6   | 6   | 3 |   | 11/21/85            |      | Χ             |        |          |    |
| 100                  | PCT       | 9   | 9 | 5  | 6  | 6   | 8   | 9 |   | 11/11/99            | X    |               |        | _        | 1_ |
|                      | PCT       | 0   | 1 | 6  | 6  | ī   | 6   | 0 |   | 9/13/01             | X    | $\bot \bot$   |        | ↓        | 1_ |
| <del></del>          | PCT       | 9   | 9 | 2  | 6  | 5   | 7   | 4 |   | 6/3/99              | X    |               |        | <u> </u> | 1  |
| <del> </del>         | EPO ·     | 0   | 7 | 8  | 1  | 5   | 3   | 8 |   | 7/2/97              | X    | 4             |        | 1        | ↓_ |
|                      | EPO       | 0   | 8 | 3  | 5  | 3   | 3   | 7 |   | 10/31/01            | X    |               | $\bot$ | ↓        |    |
| 101                  | EPO       | o   | 9 | 8  | 9  | 8   | 3   | 7 |   | 4/10/02             | X    | $\bot \bot$   | $\bot$ | ــــ     | 1_ |
| HERRI                | PCT `     | 9   | 5 | ī  | 8  | 1   | 9   | 1 |   | 7/6/95              | X    | $\perp \perp$ | $\bot$ | 上        | L. |

of, or is readily available to any individual designated in Rule 56(c).

DM-10/2003 Sheet 2 of 2

(Rev. 5/92)
Information Disclosure State By Applicant(s)
Under 37 CFR Section 1.98(a) (1)
(Use several sheets if necessary)

Attorney Docket Number: KCX-660 (19116) Serial Number: 10/732.827 Applicant:
ANN MARIE PRZEPASNIAK ET AL. Filing Date: 12/10/03 Confirmation No: 6772 Group Art Unit: 3763

| EXAMINER<br>INITIALS  | OTHER DO Specify author (if any), Title, Pertinent   | CUMENTS t Pages, Date & Place of Publication | COPY<br>NOTE |  |  |  |  |  |  |  |
|---|--|--|--------------|--|--|--|--|--|--|--|
|   | U.S. PATENT APPLICATION PUBLICATION NO. 2001/0001815 | 5/24/01                                      |              |  |  |  |  |  |  |  |
|   | U.S. PATENT APPLICATION PUBLICATION NO. 2002/0058921 | 5/16/02                                      |              |  |  |  |  |  |  |  |
|   | U.S. PATENT APPLICATION PUBLICATION NO. 2001/0000796 | 5/3/01                                       |              |  |  |  |  |  |  |  |
|   | U.S. PATENT APPLICATION PUBLICATION NO. 2001/0025163 | 9/27/01                                      |              |  |  |  |  |  |  |  |
|   | U.S. PATENT APPLICATION<br>SERIAL NO. 10/732,163     | FILED DECEMBER 10, 2003                      |              |  |  |  |  |  |  |  |
| EXAMINER  | lyn.   | DATE CONSIDERED                              |              |  |  |  |  |  |  |  |
| Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. |  |  |              |  |  |  |  |  |  |  |